



2615 #3  
1-10-03

PTO/SB/21 (08-00)  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/921,420	
	Filing Date	August 2, 2001	
	First Named Inventor	OSTROVER, Lewis S.	
	Group Art Unit	2615	
	Examiner Name	TO BE ASSIGNED	
Total Number of Pages in This Submission	42	Attorney Docket Number	3053-041

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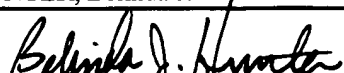
**ENCLOSURES** (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CID, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal, Voice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Return Receipt Postcard
<div>Remarks</div> <div> <b>22440</b> PATENT TRADEMARK OFFICE</div>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	WEISZ, Tiberia Reg. No. 29,876
Signature	
Date	December 31, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span>December 31, 2002</span>			
Typed or printed name	HUNTER, Belinda J.		
Signature		Date	December 31, 2002

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Attorney Docket No.: 3053-041

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First-Named Inventor : OSTROVER, Lewis S.  
Serial No. : 09/921,420  
Filing Date : August 2, 2001  
Title : APPARATUS FOR GENERATING CONTENT CODES  
FOR AUDIOVISUAL PROGRAMS BY MULTIPLE  
VIEWERS  
Examiner : To Be Assigned  
Group Art Unit : 2615

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Washington, D.C. 20231

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HUNTER, Belinda J

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§1.56, 1.97 AND 1.98**

S I R :

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97. and 1.98, applicant submits herewith an *Information Disclosure Statement* along with (3) cited references listed on Form PTO-1449.

**References AA and AB** are cited in the *International Search Report* dated December 12, 2002 issued by the Patent Cooperation Treaty organization in counterpart International Patent Application No. PCT/US02/23709. Both references are each indicated in this report as applicable to category A, meaning that these references are found to define the general state of

the art thus having no particular relevance compared to any of the claims of the subject invention. A true copy of the report from the files of the undersigned attorney of record is also enclosed herewith in accordance with MPEP §2001.06(a) since the afore-mentioned international patent application claims priority to the present US application, and is indicated as **Reference BA** on the enclosed *List of Cited References*.

Inasmuch as the present application has not yet received a first Office Action on the merits in accordance with 37 CFR §1.97(b)(3) and the disclosures enclosed herewith have been cited in a foreign counterpart application not three months prior to the filing date of this paper in accordance with 37 CFR §1.97(e), this information is believed to be timely filed. Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this *Information Disclosure Statement* is now due. If it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: December 31, 2002  
New York, New York

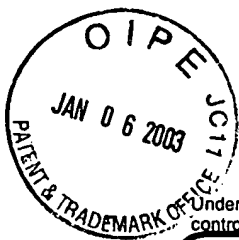
Respectfully submitted,

GOTTLIEB RACKMAN & REISMAN PC  
Attorneys for Applicant  
270 Madison Avenue  
New York, New York 10016-0601  
Telephone: 212/684-3900  
Telefax: 212/684-3999

By: 

WEISZ, Tiboriu  
Reg. No. 29,876

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>	
Application Number	09/921.420			
Filing Date	August 2, 2001			
First Named Inventor	OSTROVER, Lewis S			
Group Art Unit	2615			
Examiner Name	To Be Assigned			
Attorney Docket Number	3053-041			
Sheet	1	of	2	

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	AA	US- 5.172.111	12.15.1992	Olivo Jr	See ISR PCT/US02/23709
	AB	US- 5.589.945	12.31.1996	Abecassis	See ISR PCT/US02/23709
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
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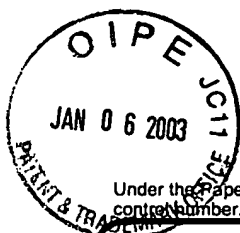
FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†6
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	AU					
	AV					
	AW					
	AX					
	AY					
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Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/921.420		
		Filing Date	August 2, 2001		
		First Named Inventor	OSTROVER, Lewis S		
		Group Art Unit	2615		
		Examiner Name	To Be Assigned		
Sheet	2	of	2	Attorney Docket Number	3053-041

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	BA	International Search Report dated December 12, 2002; PCT/US02/23709 filed July 25, 2002; entitled APPARATUS FOR GENERATING CONTENT CODES FOR AUDIOVISUAL PROGRAMS BY MULTIPLE VIEWERS; inventor: OSTROVER, Lewis S	
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